

Philosophische Fakultät – Institut für Politikwissenschaft – Lehrstuhl für Internationale Politik

# - Syllabus -

# Simulation of the enlargement of the European Union

Winter semester 2015/16

Monday (2) 09.20 - 10.50 a.m., GER/39/U

#### Dr. Markus Gastinger

Wissenschaftlicher Mitarbeiter Von-Gerber-Bau, room 251

Office hours: Wednesdays, 2 – 3 p.m. → please sign up here: <a href="https://goo.gl/rE9V78">https://goo.gl/rE9V78</a>

Feel free to visit me: markus-gastinger.eu

# **Wibke Sperling**

Sekretariat Lehrstuhl für Internationale Politik

Von-Gerber-Bau, room 257, Office hours: Tuesdays through Thursdays, 10 a.m. – 3 p.m.

Feel free to visit us: <a href="https://www.tu-dresden.de/phil/ifpw/intpol/prof/team">www.tu-dresden.de/phil/ifpw/intpol/prof/team</a>









# I.) Basic information

This seminar consists chiefly of two parts. In the first part we are going to simulate selected enlargement negotiations of the European Union. Here you will step into the shoes of one country's lead negotiator, trying to actively shape the outcome in your preferred direction. To do so more effectively, you will learn basic concepts encountered in negotiation analyses. In the second part we will take a theory-driven approach to understanding European enlargement by discovering fundamental theoretical approaches to explain European integration and governance. Upon completion of this seminar you will be able to answer the following questions: How does EU enlargement work? How do I negotiate effectively? What are re-current issues in negotiations? Which further enlargement rounds are we likely to see in the future? Where does Europe end? And which theories help us explain various enlargement dynamics? To obtain credit you are expected to participate actively based on a thorough preparation of the readings announced before each session, prepare a short presentation for one of the sessions, write a personal negotiations journal before and after each negotiation simulation (of which there are three in total), and write a short final paper about a theory of your choice. Coming out of this class you will have developed a comprehensive understanding of international negotiations, EU enlargement and its effects on European integration as well as trained your negotiating skills in an intercultural context. Participation of Erasmus and other international exchange students is highly welcome!

# II.) Course objectives

By attending this seminar you should attain the following objectives:

- 1) Learn more about the EU as a political polity beyond the nation state and regional IO.
- 2) Train your ability to reflect critically on alternative grand theories that explain European integration.
- 3) Acquire a basic understanding of the enlargement process in the EU, what is at stake and what follows in its aftermath.
- 4) Get deeper insight into how intergovernmental negotiations as a central feature of international relations are conducted by training your negotiating skills.
- 5) Improve your English speaking and writing skills, which will help you find your feet in a wide variety of situations.

# III.) Registration requirements

This seminar is open to students of the following degree programs.

| Module name                                    | Module abbr. | Degree              |
|------------------------------------------------|--------------|---------------------|
| Großes Aufbaumodul Internationale Beziehungen  | POL-GAM-IB   | Bachelor            |
| Kleines Aufbaumodul Internationale Beziehungen | POL-KAM-IB   | Politikwissenschaft |
| Profilmodul Internationale Beziehungen         | POL-PM-IB    | Ergänzungsbereich   |

| Aufbaumodul Internationale Beziehungen und Außenpolitik | PHF-SEMS-GK-06 | Staatsexamen<br>Lehramt |
|---------------------------------------------------------|----------------|-------------------------|
|                                                         | PHF-SEGY-GK-06 |                         |
|                                                         | PHF-SEBS-GK-06 |                         |
| Ergänzung Kernfächer                                    | MA-IB-WP-E     | Master IB               |
| Varies with your home institution                       | "Erasmus"      | Exchange students       |

Registration on OPAL is compulsory to follow this course → <a href="https://goo.gl/srdFOW">https://goo.gl/srdFOW</a> (click on *Einschreiben*)

# IV.) Assessment and grading

Deliverables for obtaining credit vary depending on your degree program and needs.

| Module abbr.   | Deliverables                                                     |  |
|----------------|------------------------------------------------------------------|--|
| POL-GAM-IB     | Negotiations journal, presentation, final paper                  |  |
| POL-KAM-IB     | Negatiations is upol presentation                                |  |
| POL-PM-IB      | Negotiations journal, presentation                               |  |
| PHF-SEMS-GK-06 | Negotiations journal, presentation                               |  |
| PHF-SEGY-GK-06 |                                                                  |  |
| PHF-SEBS-GK-06 |                                                                  |  |
| MA-IB-WP-E     | Negotiations journal, presentation, final paper                  |  |
| "Erasmus"      | Negotiations journal (2 ECTS), presentation (2), final paper (2) |  |

**NEGOTIATIONS JOURNAL:** This is an essential element of the simulations. Before each negotiation you will be provided with briefing material detailing your country's position. In the negotiation journal you will draw a graphical representation of your position and record your opening statement (Part A). Instructions on how to do both will be provided. Afterwards you will reflect on your performance and the negotiations generally (Part B). By repeating this procedure three times you will greatly enhance your negotiation skills and get deep insight into how negotiations are conducted on the international scene.

Please send me Part A of your negotiations journal **until Friday**, **1 p.m. (CET)**, before each of the three simulations.

**PRESENTATION:** Starting from session 6 we will have at least two presentations on the required and recommended readings announced for each session (see below). Presentations should be 10–15 minutes and (1) provide short biographical information on the authors, (2) reproduce the main definitions and concepts used, (3) summarize the main points of the text, (4) give us your opinion on why you think the text has been (not) useful to understand the EU.

Please circulate a **2–3 page handout** until the Friday (1 p.m.) before your presentation.

**FINAL PAPER:** In your final paper you should pick up any of the theories of European integration covered throughout the semester and write 5–7 pages (without title page and references) about

its value and shortcomings. You can use the required and recommended readings of the corresponding session but please add 3-5 texts yourself (taking you to 5-7 references in total). Formally, margins are 2.54 cm (1 inch), font type is Times New Roman, font size 12 pt and line spacing 1.5 throughout your paper.

Please send me your final paper per email as a pdf file carrying your full name **until March 31**. Please note that this is a global deadline (end of semester) that cannot be extended.

# V.) Session outline

# Session 1 (12.10.2015) – Introduction

General introduction and getting to know each other; presentation of course objectives; requirements for obtaining credit; repartition of the group presentations.

## Session 2 (19.10.2015) - EU crash course

**Recommended readings:** In case you had little exposure to EU affairs in the past, it is highly recommended you narrow the gap to other students by consulting the following material.

- Lewis, J. (2013) 'The Council of the European Union and the European Council', in M. Cini and N. Pérez-Solórzano Borragán (eds). European Union politics. Oxford: Oxford University Press, pp. 142–158.
- Egeberg, M. (2013) 'The European Commission', in M. Cini and N. Pérez-Solórzano Borragán (eds). European Union politics. Oxford: Oxford University Press, pp. 129–141.
- Burns, C. (2013) 'The European Parliament', in M. Cini and N. Pérez-Solórzano Borragán (eds). European Union politics. Oxford: Oxford University Press, pp. 159–171.

## Session 3 (26.10.2015) - Enlargement

How does the enlargement of the EU work? Which function do the Commission, Council, and European Parliament play? How did this process change over time?

#### Required readings:

- A reader made up of primary sources (Treaty provisions and European Council decisions) will be circulated beforehand. We will answer the above questions in class based on the reader to teach you inferring essential information from primary documents. The reader should be printed out but does not necessarily need to be read ahead of class.
- Dinan, D. (2005) Ever closer union: an introduction to European integration, 3rd Ed., Boulder, CO: Lynne Rienner, pp. 1–8.

## Session 4 (02.11.2015) – Negotiations crash course

An introduction into the world of negotiations and international diplomacy. What are BATNAs and ZOPAs? What are anchorage and reservation points? What are the powers of the chair and issue linkage? How do you write your negotiations journal? Some practical tips on how to negotiate effectively.

## Required readings:

- Tallberg, J. (2004) 'The Power of the Presidency: Brokerage, Efficiency and Distribution in EU Negotiations', *Journal of Common Market Studies* 42(5): 999–1022.
- Putnam, R. D. (1988) 'Diplomacy and Domestic Politics: The Logic of Two-Level Games', *International Organization* 42(3): 427–460.

# Session 5 (09.11.2015) - Simulation: Northern enlargement

Simulation of the Northern enlargement (United Kingdom, Ireland, Denmark). Please send me your negotiations journal (Part A) by Friday, November 6, 1 p.m. (CET).

# Session 6 (16.11.2015) – Integration theories

# Required reading:

Schimmelfennig, F. and Rittberger, B. (2006) 'Theories of European integration: assumptions and hypotheses', in J. Richardson (ed.). European Union: power and policy-making. New York: Routledge, pp. 73–95.

# Recommended reading:

Wiener, A. and Diez, T. (2009) 'Introducing the mosaic of integration theory', in A. Wiener and T. Diez (eds). European Integration Theory. Oxford: Oxford University Press, pp. 1–22.

# Session 7 (23.11.2015) - Federalism

#### Required reading:

 Burgess, M. (2009) 'Federalism', in A. Wiener and T. Diez (eds). European Integration Theory. Oxford: Oxford University Press, pp. 25–44.

#### Recommended reading:

Pinder, J. (1985) 'European community and nation-state: a case for a neo-federalism?',
International Affairs 62(1): 41–54.

## Session 8 (30.11.2015) - Neofunctionalism

#### Required reading:

 Niemann, A. and Schmitter, P. C. (2009) 'Neofunctionalism', in A. Wiener and T. Diez (eds). European Integration Theory. Oxford: Oxford University Press, pp. 45–66.

#### Recommended reading:

 Börzel, T. A. (2005) 'Mind the gap! European integration between level and scope', Journal of European Public Policy 12(2): 217–236.

# Session 9 (07.12.2015) - Simulation: Southern enlargement

Simulation of the "big" Southern enlargement (Spain, Portugal). Please send me your negotiations journal (Part A) by Friday, December 4, 1 p.m. (CET).

# Session 10 (14.12.2015) – Liberal intergovernmentalism

#### Required reading:

Moravcsik, A. and Schimmelfennig, F. (2009) 'Liberal intergovernmentalism', in A. Wiener and T. Diez (eds). *European Integration Theory*. Oxford: Oxford University Press, pp. 67–87.

#### Recommended reading:

 Wallace, H., Caporaso, J. A., Scharpf, F. W. and Moravcsik, A. (1999) 'Review section symposium: The choice for Europe: Social purpose and state power from Messina to Maastricht', *Journal of European Public Policy* 6(1): 155–179.

# Session 11 (04.01.2016) - Social constructivism

#### Required reading:

Risse, T. (2009) 'Social constructivism and European integration', in A. Wiener and T. Diez (eds). European Integration Theory. Oxford: Oxford University Press, pp. 144–160.

#### Recommended reading:

 Checkel, J. T. (2005) 'International Institutions and Socialization in Europe: Introduction and Framework', *International Organization* 59(4): 801–826.

### Session 12 (11.01.2016) – New institutionalism(s)

#### Required reading:

 Pollack, M. A. (2009) 'The new institutionalisms and European integration', in A. Wiener and T. Diez (eds). European Integration Theory. Oxford: Oxford University Press, pp. 125–143.

#### Recommended reading:

 Hall, P. A. and Taylor, R. C. R. (1996) 'Political Science and the Three New Institutionalisms', *Political studies* 44(5): 936–957.

# Session 13 (18.01.2016) - Simulation: Eastern enlargement

Simulation of the Eastern enlargement (Cyprus, Lithuania, Poland). Please send me your negotiations journal (Part A) by Friday, January 15, 1 p.m. (CET).

# Session 14 (25.01.2016) - Documentary

In this session we will watch a documentary on the Eastern enlargement of the EU. This serves to get the point across that what you have been doing throughout this semester is not so detached from real-life events. You'll be surprised how close your experience may have been to that of European leaders in December 2002.

#### Session 15 (01.02.2016) - Debriefing

Debriefing and feedback on the overall course; final Q&A on all remaining questions.